

Three New Diketopiperazines from the Previously Uncultivable Marine Bacterium *Gallaecimonas mangrovi* HK-28 Cultivated by iChip

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The *in situ* application of iChip cultivation in mangrove sediment from Hainan province, China, led to the isolation of a novel bacterial species *Gallaecimonas mangrovi* HK-28. The extract of *G. mangrovi* HK-28 exhibited antibiotic activity against the aquatic pathogen *Vibrio harveyi*, and its chemical constituents were further investigated by bioactivity-guided isolation. Three new diketopiperazines, gallaecimonamides A–C, were accordingly isolated from the AcOEt extract of the fermentation broth of *G. mangrovi* HK-28. The planar structures of gallaecimonamides A–C were determined using HR-ESI-MS together with 1D- and 2D-NMR. The absolute configurations of gallaecimonamides A–C were assigned by optical rotation, NOESY experiment and TDDFT ECD calculations. The *in vitro* antibacterial and antimalarial activities of gallaecimonamides A–C were assessed. Gallaecimonamide A was found to display antibacterial activity against *V. harveyi* with a MIC value of 50 μM . However, gallaecimonamides B and C showed no antibacterial activity against *V. harveyi* (MIC > 300 μM). In addition, all the isolates did not exhibit any inhibitory activities against *V. parahaemolyticus* (MIC > 300 μM) and *Plasmodium falciparum* W2 (EC₅₀ > 100 $\mu\text{g/mL}$).

Keywords: iChip, *Gallaecimonas mangrovi*, diketopiperazines, antibacterial activity.

Introduction

Mangrove-associated microorganisms have proven to be a new resource of structurally novel and biologically active natural products that could be used to develop new medicinal agents.^[1–3] However, the bulk of microorganisms from the mangrove environment will not grow under laboratory conditions.^[4–7] Therefore, the vast majority of biological and chemical resources related to uncultivable microorganisms have been limitedly studied so far. In order to deeply mine the bioactive agents from mangrove-derived microorganisms, a novel iChip device (isolation chip) was

developed to simulate the natural environment to *in situ* cultivate uncultivable microbial organisms. The cultivation approach reportedly has been proven to increase microbial recovery by 5 to 300 times.^[6]

In this study, the extracts produced from 360 microbial isolates obtained using iChip method were screened against the marine pathogen *Vibrio harveyi*. A novel bacterium *Gallaecimonas mangrovi* HK-28 which was reported by our lab previously,^[8] exhibited antibacterial activity and was selected for in-depth chemical investigation. Subsequently, fermentation of the strain HK-28 in large scale and bioassay-guided analysis of the AcOEt extract of the fermentation broth were carried out. Three new diketopiperazines were discovered in the course of this research, named gallaecimonamides A–C (**1–3**) (Figure 1). Herein, the

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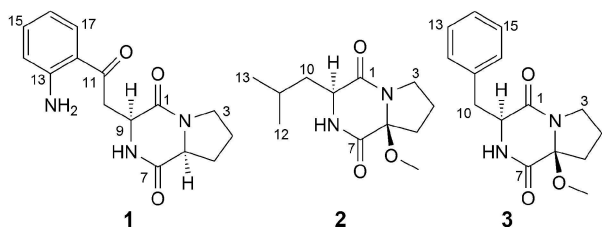


Figure 1. Chemical structures of compounds **1–3**.

isolation, structure elucidation and biological activity of these new diketopiperazines (DKPs) were reported.

Results

Structure Elucidation of Compounds **1–3**

Gallaecimonamide A (**1**) was isolated as a white amorphous powder. Analysis of HR-ESI-MS and NMR (Table 1) data allowed for the determination of the molecular formula of compound **1** as being $C_{15}H_{17}N_3O_3$ (m/z 288.1341 $[M+H]^+$). The IR spectrum of compound **1** showed a strong absorption at 1648 cm^{-1} , indicating that there may be carbonyl group. The $^1\text{H-NMR}$ spectrum of **1** recorded in (D_6)

DMSO displayed signals indicative of an amide NH ($\delta(\text{H})$ 8.07), an aromatic amine ($\delta(\text{H})$ 7.13), four aromatic protons [$\delta(\text{H})$ 6.76 (1H, d, $J=8.4$ Hz, H-14), 7.25 (1H, dd, $J=8.4, 6.9$ Hz, H-15), 6.55 (1H, dd, $J=8.1, 6.9$ Hz, H-16), 7.74 (1H, d, $J=8.1$ Hz, H-17)] and four sets of methylene multiplets [$\delta(\text{H})$ 3.41–3.43 (1H, m, H-3), 3.32–3.34 (1H, m, H-3), 1.85–1.89 (1H, m, H-4), 1.79–1.84 (1H, m, H-4), 2.13–2.18 (1H, m, H-5), 1.90–1.94 (1H, m, H-5), 3.59 (1H, dd, $J=12.5, 5.2$ Hz, H-10), 3.22 (1H, dd, $J=12.5, 5.4$ Hz, H-10)]. In addition, analysis of the $^{13}\text{C-NMR}$ spectrum of **1** indicated the presence of a characteristic diketopiperazine ring system, including two typical amide carbonyl groups [$\delta(\text{C})$ 165.8 (C-1) and 169.5 (C-7)]. The cross peaks between H-14/H-15, H-15/H-16 and H-16/H-17 in the $^1\text{H}, ^1\text{H-COSY}$ (Figure 2) and the correlations from 8-NH to C-1 and C-9, from H-9 to C-1, C-10 and C-11, from H-17 to C-11, C-15, C-16 and C-13, from H-16 to C-14 and C-17, from H-15 to C-13 and C-17 and from NH_2 -13 to C-12 in the HMBC spectrum (Figure 2) demonstrated the presence of a kynurenine (Kyn) residue in compound **1**. Analysis of the $^1\text{H}, ^1\text{H-COSY}$ spectrum data, a linear correlations at $\text{H}_2\text{-3}/\text{H}_2\text{-4}$, $\text{H}_2\text{-4}/\text{H}_2\text{-5}$ and $\text{H}_2\text{-5}/\text{H}_2\text{-6}$, as well as the HMBC correlations from $\text{H}_2\text{-4}$ to C-5 and from H-6 to C-5, indicated that compound **1** contained a proline (Pro) residue. Finally, the HMBC

Table 1. ^1H - and ^{13}C -NMR data of compounds **1–3**.

Position	1 ^[a]		2 ^[a]		3 ^[a]	
	$\delta(\text{C})$	$\delta(\text{H})$	$\delta(\text{C})$	$\delta(\text{H})$	$\delta(\text{C})$	$\delta(\text{H})$
1	165.8		167.8		166.7	
3	45.0	3.41–3.43 (m), 3.32–3.34 (m)	45.1	3.45–3.48 (m)	44.90	3.53–3.57 (m), 3.40–3.44 (m)
4	22.4	1.85–1.89 (m), 1.79–1.84 (m)	19.3	1.83–1.85 (m)	19.2	1.82–1.85 (m)
5	27.7	2.13–2.18 (m), 1.90–1.94 (m)	31.0	2.29–2.31 (m), 1.86–1.89 (m)	31.5	2.26–2.28 (m), 1.85–1.87 (m)
6	58.7	4.26 (t, $J=8.1$)	90.7		90.8	
7	169.5		165.0		164.8	
8-NH		8.07, s		8.56 (d, $J=4.2$)		8.34 (d, $J=4.2$)
9	50.9	4.56 (t, $J=5.3$)	55.3	3.72 (ddd, $J=9.6, 4.8, 4.3$)	58.2	4.01 (ddd, $J=8.0, 5.6, 4.2$)
10	38.3	3.59 (dd, $J=12.5, 5.2$), 3.22 (dd, $J=12.5, 5.4$)	44.3	1.64–1.69 (m), 1.52–1.55 (m)	40.4	3.06 (dd, $J=8.0, 5.6$)
11	197.7		24.0	1.73–1.76 (m)	136.9	
12	116.5		21.6	0.87 (d, $J=6.5$)	129.7	7.19 (d, $J=7.1$)
13	151.1		23.1	0.90 (d, $J=6.6$)	128.30	7.30 (t, $J=7.1$)
14	116.9	6.76 (d, $J=8.4$)			126.60	7.23 (t, $J=7.1$)
15	134.1	7.25 (dd, $J=8.4, 6.9$)			128.30	7.30 (t, $J=7.1$)
16	114.4	6.55 (dd, $J=8.1, 6.9$)			129.7	7.19 (d, $J=7.1$)
17	130.9	7.74 (d, $J=8.1$)				
13-NH ₂		7.13 (s)				
6-MeO			51.4	3.18 (s)	50.9	3.00 (s)

^[a] measured at 600 MHz for $^1\text{H-NMR}$ data and 150 Hz for $^{13}\text{C-NMR}$ data in (D_6)DMSO.

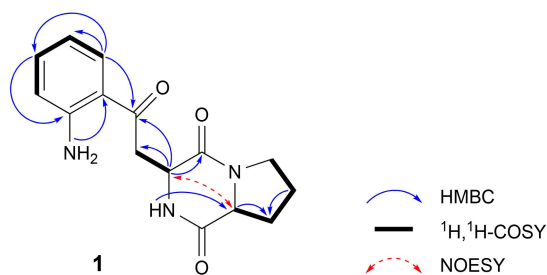


Figure 2. Key $^1\text{H},^1\text{H}$ -COSY, HMBC, and NOESY data of **1**.

cross peaks from H-9 to C-1 and from 8-NH to C-6 indicated the cyclic dipeptide nature of **1**, allowing the planar diketopiperazine structure of **1** to be assigned (Figure 1).

Gallaecimonamide B (**2**) and C (**3**) were also obtained as white amorphous powders, and their molecular formulas were respectively found to be $\text{C}_{12}\text{H}_{20}\text{N}_2\text{O}_3$ (m/z 241.1545 $[\text{M}+\text{H}]^+$) and $\text{C}_{15}\text{H}_{18}\text{N}_2\text{O}_3$ (m/z 275.1389 $[\text{M}+\text{H}]^+$) based on HR-ESI-MS data. Compound **2** displayed the presence of characteristic ^{13}C NMR chemical shift values observed for a diketopiperazine ring system, which included the typical amide carbonyl groups at C-1 ($\delta(\text{C})$ 167.8) and C-8 ($\delta(\text{C})$ 165.0), a heteroatom deshielded methine residue at C-10 ($\delta(\text{C})$ 55.3) and a hemiaminal quaternary carbon [$\delta(\text{C})$ 90.7 (C-6)]. In addition, a methoxy group ($\delta(\text{H})$ 3.18, $\delta(\text{C})$ 51.4) was furthermore observed in the HSQC spectrum of **2**, which suggested the existence of a methoxy group attached to C-6. This position assignment was further confirmed by the observation of an HMBC correlation from 6-O-CH₃ to C-6. The cross peaks between H₂-3/H₂-4 and H₂-4/H₂-5 in the $^1\text{H},^1\text{H}$ -COSY spectrum (Figure 3) indicated that **2** possessed three consecutive methylene groups characteristic of a proline residue. Similarly, those were unaccounted for the observation of two methyl groups, a methylene group and a methine group in **2**, suggested the presence of

a leucine (Leu) residue. The HMBC data (Figure 3) observed from H-9 to C-1, C-10 and C-11 along with those from H₂-10 to C-1, C-9, C-12 and C-13 allowed the construction of a cyclo-leucine-methoxyproline diketopiperazine planar structure for **2** (Figure 1). Compound **3** also displayed the typical NMR data for a diketopiperazine ring system (Table 1), which encompassed the characteristic amide carbonyl groups [$\delta(\text{C})$ 166.7 (C-1) and 164.8 (C-7)], a heteroatom deshielded methine residue at [$\delta(\text{C})$ 58.2 (C-9)] and hemiaminal quaternary carbon [$\delta(\text{C})$ 90.8 (C-6)]. In addition, the presence of a methoxy group ($\delta(\text{H})$ 3.00, $\delta(\text{C})$ 50.9) was observed in the HSQC spectrum of **3**, and it could be assigned based on an HMBC data (Figure S28) observed from 6-O-CH₃ to C-6. The COSY spectrum (Figure 3) displayed the correlations for geminal couplings in H₂-3, H₂-4 and H₂-5 and correlations between H₂-3/H₂-4 and H₂-4/H₂-5, indicating the presence of three consecutive methylene groups characteristic of a proline residue in **3**. Similarly, the presence of five aromatic protons and a benzylic group in **3** suggested the existence of a phenylalanine residue that would account for all remaining atoms in the molecular formula. The cross peaks between H-9/H₂-10 in the $^1\text{H},^1\text{H}$ -COSY spectrum, together with HMBCs from H-9 to C-1 and C-11, from H₂-10 to C-11 and C-12 revealed a cyclic-phenylalanine-methoxyproline diketopiperazine planar structure for **3** as shown in Figure 1.

The absolute configuration of the proline moiety for **1** was determined by optical rotation measurement, following literature reports that the sign of $[\alpha]_{\text{D}}$ for proline containing diketopiperazines were negative or positive depending only on the proline chiral α carbon being (S) or (R), respectively, even when the remaining residues were other amino acids.^[9,10] The $[\alpha]_{\text{D}}$ value of **1** in methanol at 20°C were -86.2° , so the absolute configuration of Pro were determined as (S) in **1**. Furthermore, the NOESY correlation (Figure 2) of H-6 and H-9 in **1** indicated that they were on the same face of the diketopiperazine ring. Therefore, the absolute configuration of Kyn was determined as (S), and the absolute configuration of **1** should be *cyclo*-(S-Pro-S-Kyn) (Figure 1). No correlation was observed between H-6 and 9-MeO for **2** and **3** in the NOESY experiments, suggesting that these signals could be placed on opposite sides of the diketopiperazine ring and also on the basis of biogenetic considerations. The relative configurations at C-6 and C-9 of **2** and **3** were tentatively proposed as (6S,9S) or (6R,9R), respectively. The absolute configurations of **2** and **3** were confirmed by time-dependent density functional theory (TDDFT) electronic circular dichroism (ECD)

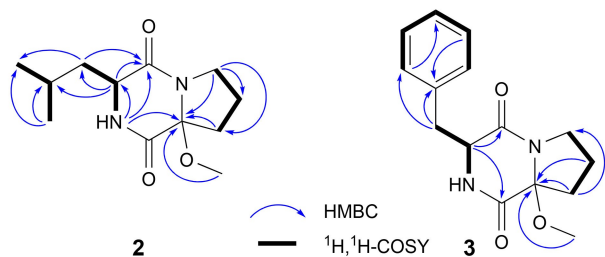


Figure 3. Key $^1\text{H},^1\text{H}$ -COSY and HMBC data of compounds **2** and **3**.

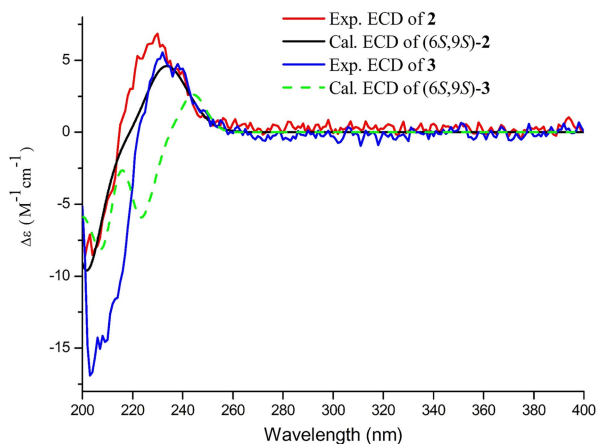


Figure 4. Experimental and calculated ECD spectra of compounds **2** and **3** in MeOH.

calculations.^[11] Conformational analyses for the molecules (6*S*,9*S*)-**2/3** and (6*R*,9*R*)-**2/3** were carried out using the MMFF94S force field in the Sybyl-X 2.0. Combined with experimental and calculated ECD spectral data for analysis, the absolute configurations of both **1** and **2** were assigned to be (6*S*,9*S*), because the calculated curves of (6*S*,9*S*)-**2** and 6*R*,9*R*-**2/3** were a good match with the experimental curves of **2** and **3** (Figure 4).

Biological Assays

The crude AcOEt extract of *G. mangrovi* HK-28 showed antibacterial activity against *V. harveyi* with a MIC value of 300 µg/mL when tested *in vitro*. Compounds **1–3** were evaluated for the biological inhibition of *V. harveyi* and *V. parahaemolyticus* growth using a previously reported protocol (Table 2).^[12,13] Chloramphenicol was used as a positive control. Compound **1** exhibited modest antibacterial activity against *V. harveyi* with a MIC value of 50 µM. However, compounds **2** and **3** showed no antibacterial activity

Table 2. Antibacterial activities of compounds **1–3**.

Compounds	MIC [µM]	
	<i>V. harveyi</i>	<i>V. parahaemolyticus</i>
1	50	> 300
2	> 300	> 300
3	> 300	> 300
Chloramphenicol ^[a]	6.25	12.5

^[a] Chloramphenicol as positive control.

against *V. harveyi*, and none of these compounds inhibited *V. parahaemolyticus* at the tested concentrations up to 300 µM. The isolated compounds **1–3** were also tested for antimalarial activity against *P. falciparum* W2. None of them exhibited antimalarial activity toward the parasite (EC₅₀ > 100 µg/mL).

Conclusion

The chemical investigation was performed on an AcOEt extract of a new mangrove-derived bacterial species *G. mangrovi* HK-28 isolated from the iChip platform, and three new compounds were successfully isolated. Notably, *in vitro* biological assays of **1–3**, only **1** displayed selectively antibacterial activity against *V. harveyi* with a MIC value of 50 µM, while the others were inactive against *V. harveyi* (MIC > 300 µM), *V. parahaemolyticus* (MIC > 300 µM) and *P. falciparum* W2 (EC₅₀ > 100 µg/mL). Collectively, it is expected that continued applications of the new device to cultivate microorganisms will improve the efficiency of discovering new microbial source for the production of chemically diverse and pharmaceutically useful compounds.

Experimental Section

General Experimental Procedures

Optical rotations were obtained using a JASCO P-2000 digital polarimeter (Hachioji, Japan). UV/VIS absorption spectra were acquired with an Evolution 201 UV/VIS spectrophotometer (Thermo Fisher, Waltham, MA, USA); λ_{max} (log ε) in nm. FT-IR spectra were recorded using a Nicolet is5 spectrometer (Thermo Fisher); ν̃ in cm⁻¹. NMR spectra were collected on a Varian 600 MHz (Palo Alto, CA, USA) spectrometer. The signals of the solvent peaks were used as internal chemical shift references (δ(H) 2.49 and δ(C) 39.5 for (D₆)DMSO); δ in ppm, J in Hz. HR-ESI-MS data were acquired on a prototype Bruker TIMS-QTOF mass spectrometer; in *m/z*. Semipreparative HPLC was performed using a Waters 2695 series HPLC instrument (Alliance 2695, Milford, MA, USA) equipped with a Waters 2996 detector and an ODS column (250 × 10 mm, 5 µm, YMC Co. Ltd., Tokyo, Japan). Medium-pressure liquid chromatography was carried out using a FLEXA Purification System (Angela Technologies, Tianjin, China). Column chromatography was carried out on normal phase silica gel (200–300 mesh, Qingdao Marine Chemical

Inc. Qingdao, PR China) and Sephadex LH-20 (Amersham Biosciences, Piscataway, NJ, USA).

Bacterial Material

The bacterium investigated in this study was previously isolated from a mangrove sediment sample collected from Haikou, Hainan Province, P. R. China (110°34'E, 19°58'N), in May 2017,^[8] and identified as a novel species of the genus *Gallaecimonas* based on 16S rRNA gene sequence analysis.^[14] The bacterium strain HK-28 was then received for deposit in the China Center For Type Culture Collection with accession number CCTCC M2019824. Furthermore, a voucher specimen was maintained in culture at the Department of Marine Pharmacy, College of Food and Pharmaceutical Sciences, Ningbo University, using marine broth 2216 medium (MB, peptone 5 g, yeast extract 1 g, NaCl 19.45 g, MgCl₂ 12.6 g, MgSO₄ 6.64 g, CaCl₂ 1.8 g, KCl 0.55 g, NaHCO₃ 0.16 g, FeC₆H₅O₇ 0.1 g, SrCl₂ 57 mg, KBr 80 mg, H₃BO₃ 22 mg, NaSiO₃·9H₂O 9.3 mg, NaF 2.4 mg, NH₄NO₃ 2.4 mg, dissolved in 1 L of distilled H₂O, pH 6.0–7.0) at –80 °C with 25% (v/v) glycerol.

Fermentation, Extraction, and Isolation

The bacterium *G. mangrovi* HK-28 was incubated in replicates in 1 L Erlenmeyer flasks containing 400 mL of the seed medium (MB, Difco). Flask cultures were inoculated on a rotatory shaker at 150 rpm at 28 °C for 24 h. 20 L of the seed cultures were transferred to 500×1 L Erlenmeyer flasks containing 400 mL of MB medium. The fermentation broth was inoculated on a rotatory shaker at 150 rpm at 28 °C for 6 days. At harvest, the culture broth was filtered, and 200 L of filtrate was extracted with an equal volume of AcOEt three times. The AcOEt extract was evaporated to yield 22 g of a brown crude extract. The crude extract (22 g) was first fractionated on a silica gel VLC column using a step gradient elution with a mixture of petroleum ether/AcOEt (20:1, 10:1, 5:1, 2:1, 1:1, 2:5, 0:1, v/v) and then with AcOEt/MeOH (8:1, 0:1, v/v), producing four fractions. Fraction 2 (3.6 g) was further isolated by Sephadex LH-20, with MeOH/CH₂Cl₂ (1:1) as eluent, producing three subfractions (Fr. 2–1–Fr. 2–3). Fr. 2–2 (2.0 g) was further subjected to MPLC separation on an ODS column eluting with MeOH/H₂O (25 to 60% MeOH, 150 min, 20.0 mL/min), and additionally separated by semipreparative reversed-phase HPLC to yield **1** (1.6 mg) (20% MeCN in water; 2.0 mL/min; *t*_R 25 min), **2** (2.2 mg) (15% MeCN in water; 4.0 mL/min;

*t*_R 51 min) and **3** (1.0 mg) (18% MeCN in water; 4.0 mL/min; *t*_R 43 min).

Gallaecimonamide A (1): White amorphous powder. $[\alpha]_D^{20} = -86.2$ (*c* = 0.1, MeOH). UV (MeOH): 227 (3.81), 256 (3.26), 366 (3.15). IR (KBr): 3438, 2917, 1648, 1450, 752. ¹H-NMR and ¹³C-NMR data: see Table 1. HR-ESI-MS: 288.1341 ([M + H]⁺, C₁₅H₁₈N₃O₃⁺; calc. 288.1344).

Gallaecimonamide B (2): White amorphous powder. $[\alpha]_D^{20} = +18.0$ (*c* = 0.1, MeOH); UV (MeOH): 203 (4.14); ECD (MeOH): 226 (6.1), 202 (–8.7) nm; IR (KBr): 2956, 1670, 1559, 1540, 1436, 1053, 483. ¹H-NMR and ¹³C-NMR data: see Table 1. HR-ESI-MS: 241.1545 ([M + H]⁺, C₁₂H₂₁N₂O₃⁺; calc. 241.1548).

Gallaecimonamide C (3): White amorphous powder. $[\alpha]_D^{20} = +43.6$ (*c* = 0.1 MeOH). UV (MeOH): 205 (4.45). ECD (MeOH): 232 (5.6), 205 (–15.6). IR (KBr): 3248, 2918, 1676, 1431, 1052, 701. ¹H-NMR and ¹³C-NMR data: see Table 1. HR-ESI-MS: 275.1389 ([M + H]⁺, C₁₅H₁₉N₂O₃⁺; calc. 275.1398).

Antibacterial Assay

Antibacterial activities of compounds **1–3** were evaluated using 96-well microplates containing the bacterial strains *V. harveyi* KP635244 and *V. parahaemolyticus* ATCC 17802. In each sterile 96-well microtiter plate, *V. harveyi* and *V. parahaemolyticus* were cultured in MB medium. Test compounds were dissolved in DMSO and added to a 96-well microtiter plate and inoculated with a bacterial suspension (10⁴–10⁵ CFU/mL) and incubated at 28 °C for 24 h. Each well was comprised of 20 μL different concentrations of the test compounds and 180 μL freshly prepared bacterial suspension. The final concentration of the test compound in the 96-well microtiter plate is 300 μM to 0.1 μM. Chloramphenicol and dimethyl sulfoxide (DMSO) were used as positive and negative controls, respectively. Tests were carried out in triplicate. The minimum inhibitory concentration (MIC) for *V. harveyi* and *V. parahaemolyticus* was determined based on visible growth inhibition of each pathogen.

Antimalarial Assay

Compounds **1–3** were evaluated for the *in vitro* antimalarial activity against the parasite (*P. falciparum* W2) using a previously described method.^[15] Chloroquine, atovaquone, and artemisinin were selected as

positive controls against *P. falciparum* W2 with EC₅₀ values of 112, 2.5, and 160 nM, respectively.

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Author Contribution Statement

S. H. and L. D. designed experiments. P. X., W. Z., Y. Y., X. H., D. S., Y. S., and J. E. H. L. performed the experiments. P. X., L. D., C. B. N., H. J., X. Y., B. W. and S. L. analyzed the data. P. X., L. D., and S. H. wrote the manuscript.

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